



John Jacob Tollefsen

Fraud Law Investigation and Litigation

John Tollefsen is a lawyer, business person, and professional investigator with over thirty-three years of experience as a lawyer. In addition to his career work, John maintains on-going education and training. His background and training make him uniquely qualified for investigating, providing expert testimony regarding, and litigating alleged fraud-related cases. He is a Certified Fraud Examiner and has the highest peer reviewed rating with Martindale-Hubbell (AV). He has obtained millions of dollars in judgments in fraud cases ranging from securities fraud to elder fraud.

Fraud Law - Areas of Competency

Tollefsen has the knowledge, experience, and expertise to investigate, litigate and give opinions regarding fraud-related matters in certain aspects of the following areas of law: civil litigation including appellate practice, banking and commercial finance, securities, corporate and business organizations, contract law, business torts, consumer protection, financial fraud including qui tam, small business, real estate, franchise, tax, and bankruptcy.

Areas of Concentrated Experience

Small Business Finance: While and after obtaining his degree in Business Administration with a concentration in finance, Tollefsen worked with more than one thousand small businesses. His experience included writing hundreds of business plans, involvement in hundreds of financings (including some public offerings) and attending the legal and business finance needs of hundreds of entrepreneurs.

Telecommunications, Internet Network and Computer Management: One of his passions is the revolution in telecommunications and microcomputers. Tollefsen has studied and worked with communications law and management for over fifteen years. He is especially knowledgeable in United States deregulation law, Internet technology, and conversion from digital standards to normal traditional telephone technology. He has significant international connections in this field and has visited facilities and communication officials in several Pacific Rim countries. For six years, John Tollefsen was the Chief Executive Officer of IWBC.Net Corporation, a small international Internet Protocol telecommunications company with interests in the United States and several other countries primarily on the Pacific Rim including the Philippines, Hong Kong, and Vietnam. Tollefsen negotiated the international contract including one with the Chinese government in China thereby obtaining interconnection rights in Beijing. His

efforts with IWBC provided him with technical insight into the technology behind the Internet. IWBC was licensed as a telephone company (CLEC) in Oregon, Washington, and California. From 1981 to the present he has worked with computer technology including hardware, software, and network management. Tollefsen Law Office PLLC uses state-of-the-art computer technology installed and maintained by John Tollefsen.

Legal Management: For over fifteen years, John Tollefsen hired, directed, and supervised a team of approximately twelve lawyers at a time in Oregon State and Washington State handling thousands of files for more than a thousand business clients. During that time, he gained experience in defense and plaintiff work in a wide variety of business legal matters. His work required him to remember and manage a myriad of details in separate matters at the same time.

Securities and Fraud Law: From most of his career, he has worked on developing securities law expertise. He has tried many cases in the field and handled several related appeals. He has served and continues to serve on several securities laws committees of the American Bar Association Business Law and Litigation Sections. He has been a speaker at Continuing Legal Education seminars for lawyers on the subject. To further develop his knowledge, he obtained his Series 47, 24, 7 and 63 NASD licenses and opened two securities broker dealers businesses. He also managed a Securities Exchange Commission licensed stock transfer agent. His practice included numerous private placements and several public offering registrations. He was privileged to make quasi-official visits with a team of ABA securities lawyers to ranking members of several international stock exchanges including some in China and the former Soviet Union and Soviet Block countries of Poland, Czechoslovakia, and Hungary.

International Connections: As a delegate on several missions led by the American Bar Association committee chairs and others, John Tollefsen met government official in several countries including China and the former Soviet Block. He has traveled extensively and has many friends and contacts throughout the world.

**Work
Experience**

2000 – present: Owner of Tollefsen Law Office PLLC emphasizing business law and litigation in Western Oregon and Washington.

1994 – 2000: Chief Executive Officer of IWBC.Net Corporation in Everett, Washington, an international digital broadband communications carrier (Internet and CLEC) with over 100 shareholders and points of presence in WA, OR, CA and Hong Kong.

1990 – 1997: President of Public Communications Corporation in Mill Creek, Washington, a long distance services reseller with 3 shareholders (part-time employment).

1985 – 1997: Owner of Tollefsen & Company, *Business Lawyers* located in Portland, Oregon and Everett, Washington, a law firm with approximately 10 lawyers and 5 paralegals.

1983 – 1984: Chief Executive Officer of Miller, Dobbs & Company in Portland, Oregon and Seattle, Washington, a financial services firm and broker-dealer.

1977 – 1984: Managing Partner of Tollefsen, LeChevallier and Patrick in Portland, Oregon and Seattle, Washington, a business law firm founded by John Tollefsen who held a 1/3rd partnership interest in a firm of 10 partners.

1976-1977: Associate with Fredrickson, Weisensee, & Cox, a Portland law firm.

1974 – 1976: John J. Tollefsen, Attorney at Law in Lake Oswego, Oregon. A sole practitioner law office opened immediately after graduation from law school.

Education

1967 – 1969: Westmont College, Santa Barbara, California: Honors at Entrance, philosophy and political science major.

1970: Shoreline Community College (to gain entrance to the University of Washington): Phi Theta Kappa, national honor fraternity of community colleges.

1970 – 1971: University of Washington, Seattle, Washington: Bachelor of Arts, Business Administration, Emphasis in Finance, Economics, and Quantitative Methods.

1971 – 1974: Willamette University, Salem, Oregon: Doctor of Jurisprudence. Seminars in Securities Laws and Land Use Planning; American Jurisprudence Award in Creditor's Rights; Worked full time through the last two years of law school to pay living expenses and tuition. Willamette University is a highly regarded school in the Pacific Northwest and is the oldest law school in the United States west of the Mississippi River.

1983 – 2000: Western Seminary, Portland Oregon: Master of Christian Leadership. Master's thesis was the completion of his first volume on the life of Christ, entitled *Genealogies of Yeshua, Studies in Christian Leadership*.

2000 – Present: Trinity College and Seminary, Newburgh, Indiana: Doctor of Religious Studies Student.

2005: Passed the 10 hour Certified Fraud Examiner Examination

by the Association of Certified Fraud Examiners.

2006-2007: Diploma in Christian Apologetics, Academy of Apologetics, Evangelism & Human Rights in Strasbourg, France.
2007 Designated Fellow of the Academy.

Patents and Publications

John Tollefsen has published several articles in newspapers, magazines (including the *Washington State Bar News*) and the Internet. He is a seminar speaker on securities and business capital topics. He has spoken several times at continuing legal education seminars to lawyers on Internet related subjects. He has obtained more than thirty trademarks from the United States Patent Office for Internet related products and services.

Professional Memberships

Mr. Tollefsen was admitted to the Oregon State Bar in September 1974 and was admitted to the Federal Bar, US District Court of Oregon in October 1974. He was admitted to the Washington Bar in June 1983, the Federal Bar, US District Court for the Western District of Washington in August 1983, the Federal Bar, US District Court for the Eastern District of Washington in October 1988, and to the Ninth Circuit on April 28, 2006. He was licensed as a General Securities Principal (Series 24, Series 7 and Series 63) in October 1983, and a General Financial Principal (Series 27) in October 1988. On April 22, 2005, he was admitted as a Certified Fraud Examiner by the Association of Certified Fraud Examiners.

For many years he has been a member of the American Bar Association, Business Law Section, with present or past committee memberships in Federal Regulation of Securities - Broker Dealer Matters; State Regulation of Securities Committee, Securities Litigation Committee of the Litigation Section; and the Legal Office Communications Committee. He served as the securities enforcement liaison for the states of Alaska, Montana, Washington, Oregon, and California. He was the state securities liaison for the State of Oregon. He is a member of the Oregon State Bar Association, the Washington State Bar Association, and a past member of the Multnomah Bar Association, and the Snohomish County Bar Association. He was a member of the Inter-Pacific Bar Association and is on the Commercial Arbitration Panel of the American Arbitration Association to hear securities law disputes.

Mr. Tollefsen has former affiliations with the Commercial Law League of America, Oregon Trial Lawyers Association, Washington Trial Lawyers Association, and Association of Trial Lawyers of America. He served a three-year term on the Oregon State Bar Unauthorized Practice Committee in the late 1970's and since 2003 has been a member of the Washington State Bar Judicial Recommendation Committee. He is a member of the House of Delegates of the Oregon State Bar Association. Tollefsen is a

member of the Hearing Officer Panel of the Washington State Bar association for the trial of disciplinary cases.

**Recent
Speaking
Assignments**

Help Taxpayers Fight Fraud: Getting Paid for Investigating Fraud on the Government, Oregon Association of Certified Fraud Examiners, Portland, OR, September 13, 2007.

I am from the Federal Government and I am here to Pay You: Understanding the Legal Requirements for Proving and Collecting from Whistleblowing Claims, The Institute of Internal Auditors, Western Regional Conference, Portland, OR, August 20, 2007.

The Accountant as an Expert Witness in a Securities Fraud Case: Understanding the Legal Requirements for Proving Damages in Washington with Expert Testimony, Washington State Certified Public Accountants Business Valuation and Litigation Committee, Bellevue, WA, December 14, 2006.

Anatomy of a Civil Fraud Trial, Pacific Northwest Chapter of the Association of Certified Fraud Examiners, Seattle, WA, August 30, 2006.

Internet Legal Research, Washington Law Institute, Bellevue, WA, October 10, 1998.

Internet, Legal Research and Law, Washington Law Institute, Bellevue, WA June 19, 1998.

Wired for Law, King County Bar Association, Seattle, April 4, 1998.

The Virtual Law Office, King County Bar Association, Seattle, November 11, 1997.

**Recent
Seminars
Attended**

The Do's, Don'ts, and Ethical Issues of Corporate Investigations, American Bar Association, Litigations Section, March 11, 2008.

Masters of the Courtroom: A Display of Innovative and Proven Trial Techniques, American Bar Association, Tort Trial & Insurance Practice Section, Phoenix, AZ, 2 day seminar, March 6-7, 2008.

28th Annual Northwest Securities Institute, Washington State Bar, Seattle, WA, 2 day seminar, February 22-23, 2008.

Meet the Securities Regulators, WSBA CLE, Seattle, WA, November 29, 2007.

Masters in Trial, American Board of Trial Advocates, Seattle, WA, November 9, 2007.

Fraud in the Securities and Financial Industry, Seattle Local Compliance Roundtable, National Society of Compliance Professionals, Seattle, WA, September 25, 2007.

Crash of a Credit Union, Pacific Northwest Chapter, Association of Certified Fraud Examiners, Seattle, WA, August 29, 2007.

Annual District Meeting for Judges and Lawyers, Western District of Washington, Seattle, WA, March 30, 2007.

Aiding and Abetting or Advising and Assisting? A Lively Discussion of Reynolds v. Schrock, Oregon Securities Regulation Section, Portland, OR, March 21, 2007.

Ethical Challenges and Changes, Portland Chapter of the Institute of Management Accountants and Oregon Chapter of the Association of Certified Fraud Examiners, March 20, 2007.

27th Annual Northwest Securities Institute, Oregon State Bar, Portland, OR, 2 day seminar, February 1-1, 2007.

Meet the Securities Regulators, WSBA CLE, Seattle, November 30, 2006.

Investigating by Computer, Association of Certified Fraud Examiners, 2 day seminar, Seattle, September 16-17, 2006.

High-Tech/Internet Fraud and the Law, Seattle, ACFE seminar, Seattle, June 28, 2006.

Litigation Section Midyear CLE, 1 day seminar, Seattle, June 16, 2006.

26th Annual Northwest Securities Institute, 2 day seminar, Seattle, February 17-18, 2006.

Meet the Securities Regulators, WSBA CLE, Seattle, WA, November 11, 2005.

Attorney Fees: Honest Pay for Honest Work, WSBA CLE, Seattle, 1 day Seminar, October 27, 2005.

Bankruptcy Appeals, Bankruptcy Committee of the Federal Bar, Seattle, February 25, 2005.

25th Annual Northwest Securities Institute, Oregon State Bar, Portland, OR, 2 day seminar, February 11-12, 2005.

The Jury System: Preserve it or Destroy It, Seattle University School of Law, 1 day seminar, Seattle, April 8, 2005.

Basic Bankruptcy Litigation in Washington, NBI, Inc. Seattle, 1 day Seminar, February 18, 2005.

A Day on Trial, ABOTA Foundation, 1 day seminar, Seattle, October 8, 2004.

The Public Records Act - 'Exposed!', WSBA CLE, 1 day seminar,

Renton, WA, September 24, 2004.

Forum on Small Business Capital Formation, Securities & Exchange Commission, 1 day seminar, Washington, DC, September 20, 2004.

Church and State: What Role Should Religion Play in the Country's Public Life, Seattle University School of Law, 1 day seminar, Seattle, September 17, 2004.

ERISA Fiduciary Update: Mutual Fund Scandals, Lawsuit Settlements and Other ... ABA Business Law Section, Seattle, April 2, 2004.

Lawyers Caught in Enron Spotlight, ABA Business Law Section, Seattle, April 1, 2004.

The Evolving Relationship with Auditors in the New Corporate Environment, ABA Business Law Section, Seattle, April 1, 2004.

A Whole New World of Credit Reporting, Privacy and ID Theft Prevention, ABA Business Law Section, Seattle, April 1, 2004.

Forum on Small Business Capital Formation, Securities & Exchange Commission, 1 day seminar, Arlington, VA, September 22, 2003.