



John Jacob Tollefsen

Computer Fraud Investigations and Litigation

John Tollefsen is a lawyer, business person, and professional investigator with over thirty years of experience. In addition to his career work, John maintains ongoing education and training. His background and training make him uniquely qualified for investigation, expert testimony, and litigation involving multiple varieties of financial fraud schemes using computers, the Internet, and telecommunications. He is a Certified Fraud Examiner and has the highest peer reviewed rating with Martindale-Hubbell (AV).

Special Competencies

Internet Network and Computer Management: For six years, John Tollefsen was the Chief Executive Officer of IWBC.Net Corporation, a small international Internet Protocol telecommunications company with interests in the United States and several other countries primarily on the Pacific Rim including the Philippines, Hong Kong, and Vietnam. Tollefsen negotiated the international contract including one with the Chinese government in China thereby obtaining interconnection rights in Beijing. His efforts with IWBC provided him with technical insight into the technology behind the Internet. From 1981 to the present he has worked with computer technology including hardware, software, and network management. Tollefsen Law Office PLLC uses state-of-the-art computer technology installed and maintained by John Tollefsen.

Legal Management: For over fifteen years, John Tollefsen hired, directed, and supervised a team of approximately twelve lawyers at a time in Oregon State and Washington State handling thousands of files for more than a thousand business clients. During that time, he gained experience in defense and plaintiff work in a wide variety of business legal matters. His work required him to remember and manage a myriad of details in separate matters at the same time.

Securities Fraud Law: From most of his career, he has worked on developing securities law expertise. He has served and continues to serve on several securities laws committees of the American Bar Association Business Law and Litigation Sections. He has been a speaker at Continuing Legal Education seminars for lawyers on the subject. To further develop his knowledge, he obtained his Series 47, 24, 7 and 63 NASD licenses and opened two securities broker dealers businesses. He also managed a Securities Exchange Commission licensed stock transfer agent. His practice included numerous private placements and several public offering registrations. He was privileged to make quasi-official visits with a team of ABA securities lawyers to ranking members of several international stock exchanges including some in China and the former Soviet Union and Soviet Block countries of Poland, Czechoslovakia, and Hungary.

International Connections: As a delegate on several missions led by the American Bar Association committee chairs and others, John Tollefsen met government official in several countries including China and the former Soviet Block. He has traveled extensively and has many friends and contacts throughout the world.

Telecommunications: One of his passions is the revolution in



telecommunications and microcomputers. He has studied and worked with communications law and management for over fifteen years. He is especially knowledgeable in United States deregulation law, Internet technology, and conversion from digital standards to normal traditional telephone technology. He has significant international connections in this field and has visited facilities and communication officials in several Pacific Rim countries.

Ethics: John Tollefsen has strived to project the highest ethics in his business dealings. As part of his ongoing education, he is working on a doctorate degree in theology and is expected to graduate from the Academy of Apologetics, Evangelism & Human Rights in Strasbourg, France in July of 2007.

Summary of experience

- 2000 – present: Owner of Tollefsen Law Office PLLC emphasizing computer and financial fraud litigation in Western Oregon and Washington.
- 1994 – 2000: Chief Executive Officer of IWBC.Net Corporation in Everett, Washington, a small international digital broadband communications carrier (Internet and CLEC) with over 100 shareholders and points of presence in WA, OR, CA and Hong Kong.
- 1990 - 1997 President of Public Communications Corporation in Mill Creek, Washington, a long distance services reseller with 3 shareholders.
- 1985 - 1997 Owner of Tollefsen & Company, *Business Lawyers* located in Portland, Oregon and Everett, Washington, a law firm with approximately 10 lawyers and 5 paralegals.
- 1983 - 1984 Chief Executive Officer of Miller, Dobbs & Company in Portland, Oregon and Seattle, Washington, a financial services firm and broker-dealer.
- 1977 - 1984 Managing Partner of Tollefsen, LeChevallier and Patrick in Portland, Oregon and Seattle, Washington, a business law firm founded by John Tollefsen who held a 1/3rd partnership interest in a firm of 10 partners.
- 1974 - 1976 John J. Tollefsen, Attorney at Law in Lake Oswego, Oregon. A sole practitioner law office opened immediately after graduation from law school.

Education

- 1967 - 1969 Westmont College, Santa Barbara, California: Honors at Entrance, philosophy and political science major.
- 1970 Shoreline Community College (to gain entrance to the University of Washington): Phi Theta Kappa, national honor fraternity of community colleges.
- 1970 - 1971 University of Washington, Seattle, Washington: Bachelor of Arts, Business Administration, Emphasis in Finance, Economics, and



Quantitative Methods. Completed degree in a shorter period of time by taking up to 25 credit hours per quarter.

- 1971 - 1974 Willamette University, Salem, Oregon: Doctor of Jurisprudence. Seminars in Securities Laws and Land Use Planning; American Jurisprudence Award in Creditor's Rights; Worked full time through the last two years of law school to pay living expenses and tuition. Willamette University is a highly regarded school in the Pacific Northwest and is the oldest law school in the United States west of the Mississippi River.
- 1983 - 2000 Western Seminary, Portland Oregon: Master of Christian Leadership. Master's thesis was the completion of his first volume on the Life of Christ, entitled *Genealogies of Yeshua, Studies in Christian Leadership*.
- 2000 - Present Trinity College and Seminary, Newburgh, Indiana: Doctor of Religious Studies Student.
- 2005 Passed Certified Fraud Examiner Examination by the Association of Certified Fraud Examiners.
- 2007 Diploma in Christian Apologetics, Academy of Apologetics, Evangelism & Human Rights in Strasbourg, France.
- 2007 Designated Fellow of the Academy, Academy of Apologetics, Evangelism & Human Rights in Strasbourg, France.

General Comments on education: Because John Tollefsen's family was not able to support his educational goals, he supported himself through several jobs during college. He was the lead electrician for a small contractor and later a planner for a golf course and vacation home development. He worked his way through law school as an electrician and then as a full-time grocery warehouseman. As a Teamster, he represented his shift for one and one half years on the grievance committee. He has continued to support himself through all his schooling. He intends to continue his pursuit of education and knowledge through the remainder of his life.

Patents and publications

John Tollefsen has published several articles in newspapers, magazines (including the Washington State Bar News) and the Internet. He is a seminar speaker on securities and business capital topics. He has spoken several times at continuing legal education seminars to lawyers on Internet related subjects. He has obtained more than thirty trademarks from the United States Patent Office for Internet related products and services.

Additional professional activities

During the past thirty years, he has served as a corporate officer and director of several small corporations, including a public company. He was an instructor of Business Law at Portland Community College. He also taught *Business Law* in the American Institute of Banking Program and in special programs for small businesses for four years. He is a past president of the Oregon Innovation Network.



Mr. Tollefsen was a 1990 delegate on a People to People mission to Budapest, Prague, and Warsaw led by the Solicitor of the Securities and Exchange Commission and a prominent Washington, DC securities lawyer. The delegation consisted of approximately thirty US securities lawyers who met with government officials of these formerly communist countries. He visited the Budapest Stock Exchange and the then proposed Warsaw Stock Exchange. He was a 1991 delegate to Russia including Siberia and Uzbekistan in a high profile visit featured on Soviet Television news. The group met with businesses and government leaders in Moscow, Bukhara, Samarkand and Novosibirsk and included a visit to SIBEX, the Siberian Stock Exchange. He served on a delegation to China in October of 1994 led by the Chair of the ABA Business Law Corporate Counsel Committee. The journey included visits to stock exchanges and businesses in Shanghai and Hong Kong as well as business and government meetings in Guangzhou and Beijing. Mr. Tollefsen wrote and produced a 90-minute video *Spotlight on China* documenting the trip.

He has been selected as a delegate beginning in 1987 to the Small Business Capital Conference of the Securities and Exchange Commission and served as presenter of *Equal Access to Capital* in 1990.

Professional memberships

Mr. Tollefsen was admitted to the Oregon State Bar in September 1974 and was admitted to the Federal Bar, US District Court of Oregon in October 1974. He was admitted to the Washington Bar in June 1983, the Federal Bar, US District Court for the Western District of Washington in August 1983, the Federal Bar, US District Court for the Eastern District of Washington in October 1988, and to the Ninth Circuit on April 28, 2006. He was licensed as a General Securities Principal (Series 24, Series 7 and Series 63) in October 1983, and a General Financial Principal (Series 27) in October 1988. On April 22, 2005, he was admitted as a Certified Fraud Examiner by the Association of Certified Fraud Examiners.

For many years he has been a member of the American Bar Association, Business Law Section, with present or past committee memberships in Federal Regulation of Securities - Broker Dealer Matters; State Regulation of Securities Committee, Securities Litigation Committee of the Litigation Section; and the Legal Office Communications Committee. He served as the securities enforcement liaison for the states of Alaska, Montana, Washington, Oregon, and California. He was the state securities liaison for the State of Oregon. He is a member of the Oregon State Bar Association, the Washington State Bar Association, and a past member of the Multnomah Bar Association, and the Snohomish County Bar Association. He was a member of the Inter-Pacific Bar Association and is on the Commercial Arbitration Panel of the American Arbitration Association to hear securities law disputes.

Mr. Tollefsen has former affiliations with the Commercial Law League of America, Oregon Trial Lawyers Association, Washington Trial Lawyers Association, and Association of Trial Lawyers of America. He served a three-year term on the Oregon State Bar Unauthorized Practice Committee in the late 1970's and since 2003 has been a member of the Washington State Bar Judicial Recommendation Committee. He is a member of the House of Delegates of the Oregon State Bar Association.

Languages

Mr. Tollefsen has formally studied German, Latin, Koine Greek, and ancient



Hebrew.

Community activities

He has served several times as a boys and girls basketball coach. He is an adult Bible teacher at his church. John Tollefsen has served on non-profit Boards of Directors and has been an advisor to several non-profit organizations such as Operation Lookout. He has been and is active in charitable fundraising and giving. He has been a member and a director on several civic organizations. He currently serves on the Joint Government Affairs Committee of the Snohomish County Chambers of Commerce.

Personal

Married for the past twenty five years to Lane Ellen Tollefsen, he has four children and one grandchild. His youngest child attends Lake Washington Technology College studying computer technology. He enjoys boating, fishing, bicycling, and reading. His personal library exceeds 4,000 volumes.

Recent Relevant Coursework

The Do's, Don'ts, and Ethical Issues of Corporate Investigations, American Bar Association, Litigations Section, March 11, 2008.

Masters of the Courtroom: A Display of Innovative and Proven Trial Techniques, American Bar Association, Tort Trial & Insurance Practice Section, Phoenix, AZ, 2 day seminar, March 6-7, 2008.

28th Annual Northwest Securities Institute, Washington State Bar, Seattle, WA, 2 day seminar, February 22-23, 2008.

Masters in Trial, American Board of Trial Advocates, Seattle, WA, November 9, 2007.

Fraud in the Securities and Financial Industry, Seattle Local Compliance Roundtable, National Society of Compliance Professionals, Seattle, WA, September 25, 2007.

Crash of a Credit Union, Pacific Northwest Chapter, Association of Certified Fraud Examiners, Seattle, WA, August 29, 2007.

Annual District Meeting for Judges and Lawyers, Western District of Washington, Seattle, WA, March 30, 2007.

Aiding and Abetting or Advising and Assisting? A Lively Discussion of Reynolds v. Schrock, Oregon Securities Regulation Section, Portland, OR, March 21, 2007.

Ethical Challenges and Changes, Portland Chapter of the Institute of Management Accountants and Oregon Chapter of the Association of Certified Fraud Examiners, March 20, 2007.

27th Annual Northwest Securities Institute, Oregon State Bar, Portland, OR, 2 day seminar, February 1-1, 2007.

Meet the Securities Regulators, WSBA CLE, Seattle, November 30, 2006.

Investigating by Computer, Association of Certified Fraud Examiners, 2 day seminar,



Seattle, September 16-17, 2006.

High-Tech/Internet Fraud and the Law, Seattle, ACFE seminar, Seattle, June 28, 2006.

Litigation Section Midyear CLE, 1 day seminar, Seattle, June 16, 2006.

26th Annual Northwest Securities Institute, 2 day seminar, Seattle, February 17-18, 2006.

Meet the Securities Regulators, WSBA CLE, Seattle, WA, November 11, 2005.

Attorney Fees: Honest Pay for Honest Work, WSBA CLE, Seattle, 1 day Seminar, October 27, 2005.

Bankruptcy Appeals, Bankruptcy Committee of the Federal Bar, Seattle, February 25, 2005.

25th Annual Northwest Securities Institute, Oregon State Bar, Portland, OR, 2 day seminar, February 11-12, 2005.

The Jury System: Preserve it or Destroy It, Seattle University School of Law, 1 day seminar, Seattle, April 8, 2005.

Basic Bankruptcy Litigation in Washington, NBI, Inc. Seattle, 1 day Seminar, February 18, 2005.

A Day on Trial, ABOTA Foundation, 1 day seminar, Seattle, October 8, 2004.

The Public Records Act - 'Exposed!', WSBA CLE, 1 day seminar, Renton, WA, September 24, 2004.

Forum on Small Business Capital Formation, Securities & Exchange Commission, 1 day seminar, Washington, DC, September 20, 2004.

Church and State: What Role Should Religion Play in the Country's Public Life, Seattle University School of Law, 1 day seminar, Seattle, September 17, 2004.

ERISA Fiduciary Update: Mutual Fund Scandals, Lawsuit Settlements and Other ... ABA Business Law Section, Seattle, April 2, 2004.

Lawyers Caught in Enron Spotlight, ABA Business Law Section, Seattle, April 1, 2004.

The Evolving Relationship with Auditors in the New Corporate Environment, ABA Business Law Section, Seattle, April 1, 2004.

A Whole New World of Credit Reporting, Privacy and ID Theft Prevention, ABA Business Law Section, Seattle, April 1, 2004.

Forum on Small Business Capital Formation, Securities & Exchange Commission, 1 day seminar, Arlington, VA, September 22, 2003.

Recent Relevant

Help Taxpayers Fight Fraud: Getting Paid for Investigating Fraud on the



**Seminars Topics
Presented by John
Tollefsen**

Government, Oregon Association of Certified Fraud Examiners, Portland, OR, September 13, 2007.

I am from the Federal Government and I am here to Pay You: Understanding the Legal Requirements for Proving and Collecting from Whistleblowing Claims, The Institute of Internal Auditors, Western Regional Conference, Portland, OR, August 20, 2007.

The Accountant as an Expert Witness in a Securities Fraud Case: Understanding the Legal Requirements for Proving Damages in Washington with Expert Testimony, Washington State Certified Public Accountants Business Valuation and Litigation Committee, Bellevue, WA, December 14, 2006.

Anatomy of a Civil Fraud Trial, Pacific Northwest Chapter of the Association of Certified Fraud Examiners, Seattle, WA, August 30, 2006

Internet Legal Research, Washington Law Institute, Bellevue, WA, October 10, 1998.

Internet, Legal Research and Law, Washington Law Institute, Bellevue, WA June 19, 1998.

Wired for Law, King County Bar Association, Seattle, April 4, 1998.

The Virtual Law Office, King County Bar Association, Seattle, November 11, 1997.